

A Note on Counting Subsets of Restricted Size

Dennis P. Walsh
Middle Tennessee State University
September 2017

Let $[n]$ denote the set of the first n positive integers. Since the number of size k subsets of $[n]$ is $\binom{n}{k}$, the number of subsets of $[n]$ of at most size k is $\sum_{j=0}^k \binom{n}{j}$. These numbers form sequence A008949 in the *On-Line Encyclopedia of Integer Sequence* (available at <http://oeis.org/A008949>).

We will provide an alternative formula for $T(n, k)$, the number of subsets of $[n]$ of at most size k . We first note that $T(n, k)$ is also equal to the number of subsets of $[n]$ of at least size $(n - k)$ since every subset of size j has a unique complement of size $(n - j)$.

Now note that any subset of at least size $(n - k)$ must contain $(n - k)$ smallest elements. Let m denote the largest of those $(n - k)$ smallest elements, and thus m takes on any value from $(n - k)$ to n . The remaining $(n - m)$ elements larger than m may or may not be in any particular subset. Hence, to construct a subset of size at least $(n - k)$ when given a specific m , we first select $n - k - 1$ elements from $[m - 1]$, and then we decide if any of the remaining elements from $(m + 1)$ to n will be in the subset. The number of ways to perform such a construction is thus $\binom{m-1}{n-k-1} 2^{n-m}$.

Therefore, summing over the possible values for m , we obtain

$$T(n, k) = \sum_{m=n-k}^n \binom{m-1}{n-k-1} 2^{n-m} \quad (1).$$

Letting $j = m - n + k$, we obtain the equivalent formula

$$T(n, k) = \sum_{j=0}^k \binom{n+j-k-1}{j} 2^{k-j} \quad (2).$$

The following combinatorial identity is proven

$$\sum_{j=0}^k \binom{n}{k} = \sum_{j=0}^k \binom{n+j-k-1}{j} 2^{k-j} \quad (3).$$

Example. Let $n = 5$ and $k = 3$. Then $\sum_{j=0}^3 \binom{5}{k} = \binom{5}{0} + \binom{5}{1} + \binom{5}{2} + \binom{5}{3} = 26$

and $\sum_{j=0}^3 \binom{j+1}{j} 2^{3-j} = \binom{1}{0} 2^3 + \binom{2}{1} 2^2 + \binom{3}{2} 2 + \binom{4}{1} 1 = 26$.

We note that if one removes the factor (2^{k-j}) from the right side sum in identity (3) above, the "hockey-stick" formula for binomial coefficients comes into play, namely,

$$\sum_{j=0}^k \binom{n+j-k-1}{j} = \binom{n}{k}$$

For example, with $n = 5$ and $k = 3$, we obtain

$$\sum_{j=0}^3 \binom{j+1}{j} = \binom{5}{3}.$$